

**Stroud District Council 2022-23 Internal Audit Progress Report - September 2022**

Ref	Plan Quarter	Actual Quarter	Dept.	Audit	Comment	Risk	Status Now	Status Last Quarter	Comments
<b>Completion of 2021-22 Work</b>									
1		1	Communities	Anti-social Behaviour Management	Assurance	High	Final Report Issued		Delivered across 2021-22 year end. Reported to July 22 Committee.
2		1 and 2	Communities	Electrical Works Contract – Follow-Up	Assurance	High	Final Report Issued		Carry forward from 2021-22.
3		1	Resources	Brimscombe Port – Annual Report	Assurance	Medium	Final Report Issued		Delivered across 2021-22 year end. Reported to July 22 Committee.
4		1	Resources	Change Management Process	Assurance	High	Final Report Issued		Delivered across 2021-22 year end. Reported to July 22 Committee.
5		1	Resources	Creditors – Follow-Up	Assurance	High	Final Report Issued		Delivered across 2021-22 year end. Reported to July 22 Committee.
6		1	Resources	Green Homes Grant Local Authority Delivery Scheme - Phase 1b	Assurance	High	Final Report Issued		Delivered across 2021-22 year end. Reported to July 22 Committee.
7		1 and 2	Resources	ICT Compliance with Government Standards	Assurance	High	Final Report Issued		Carry forward from 2021-22.
8		1 and 2	Resources	ICT Incident Management Process	Assurance	High	Final Report Issued		Carry forward from 2021-22.
9		1	Exempt	Exempt	Assurance	High	Final Report Issued		Delivered across 2021-22 year end. Reported to July 22 Committee.
<b>Work Planned for Quarter 1 2022-23</b>									
10	1	1 and 2	Communities	Leisure Facilities – Stratford Park	Assurance	High	Field Work Started		Leisure facilities activity split into two tranches - Stratford Park (Quarter 1) and The Pulse (Quarter 2).
11	1	1 and 2	Communities	Social Housing Decarbonisation Fund (Demonstrator)	Assurance	High	Draft Report Issued		Deferred from 2021-22 Plan.
12	1	3	Place	Canal Restoration Project – Risk Management	Assurance	High	Planned		Quarter 3 delivery request from service management agreed.
13	1	1 and 2	Place	Planning Applications – Consultations with Town and Parish Councils	Assurance	Medium	Final Report Issued		
14	1	2	Place	Planning Enforcement	Consultancy	Consultancy	Planned		Quarter 2 start agreed.
15	1	1	Place	Planning Enforcement – Complaints Data Analysis	Assurance	High	Final Report Issued		Information Sheet released to Committee in July 22.
16	1	1 and 2	Place	Innovate to Renovate – Claim 1	Assurance	Medium	Field Work Started		Deferred from 2021-22 Plan.
17	<b>NEW</b>	1 and 2	Resources	Contain Outbreak Management Fund (COMF) - Grant Certification	Assurance	High	Final Report Issued		New activity.
18	1	1 and 2	Resources	Covid 19 Business Grants – Post Payment Assurance	Assurance	High	Field Work Started		Activity split into two tranches. This is tranche 1.
19	1	2	Resources	IT Applications Management	Assurance	High	Planned		Scoping meeting to be completed in September 2022.
20	1	2	Resources	IT Cyber Security	Assurance	High	Planned		Scoping meeting to be completed in September 2022.
21	1	1 and 2	Resources	IT Disaster Recovery – Follow-Up	Assurance	High	Field Work Started		Deferred from 2021-22 Plan.
22	<b>NEW</b>	1	Resources	Test and Trace Support Payment – Grant Certification	Assurance	High	Final Report Issued		New activity.
<b>Work Planned for Quarter 2 2022-23</b>									
23	2	2	Communities	Cleaner Estates Strategy (Refuse)	Assurance	High	Planned		Deferred from 2021-22 Plan.
24	2	3	Communities	Housing Management System – Project Management	Assurance	High	Planned		Quarter 3 delivery request from service management agreed.
25	2	2	Communities	Housing Revenue Account (HRA) Delivery Plan	Assurance	High	Planned		Deferred from 2021-22 Plan.
26	2	2	Communities	Leisure Facilities – The Pulse	Assurance	High	Planned		Leisure facilities activity split into two tranches - Stratford Park (Quarter 1) and The Pulse (Quarter 2).
27	2	2	Communities	Out of Hours Emergencies – Follow-Up	Assurance	High	Planned		

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28	2	2	Communities	Safeguarding	Assurance	High	Planned		Deferred from 2021-22 Plan.
29	2	2	Communities	Social Housing Decarbonisation Fund (Wave 1)	Assurance	High	Planned		
30	2	2	Place	Innovate to Renovate – Claim 2	Assurance	Medium	Field Work Started		
31	2	3	Place	ISO 14001 – Environmental Management System	Consultancy	Consultancy	Planned		Quarter 3 delivery agreed.
32	2	2	Resources	IT Procurement	Consultancy	Consultancy	Planned		
33	2	2	Resources	Member Expenses	Assurance	Medium	Planned		
34	2	2	Resources	Risk Management	Consultancy	Consultancy	Planned		
<b>Work Planned for Quarter 3 2022-23</b>									
35	3		Council Wide	Business Continuity	Assurance	High	Planned		
36	3		Council Wide	Contract Management Framework	Assurance	High	Planned		
37	3		Council Wide	Emergency Planning	Assurance	High	Planned		
38	3		Council Wide	Fit for the Future Programme	Assurance	High	Planned		Deferred from 2021-22 Plan.
39	3		Communities	Homelessness Prevention	Assurance	Medium	Planned		
40	3		Communities	Section 20 Leaseholder Service Charges	Assurance	High	Planned		
41	3		Place	Brimscombe Port	Assurance	High	Planned		
42	3		Place	Health and Safety Audits	Assurance	Medium	Planned		
43	3		Resources	Cash and Bank	Assurance	High	Planned		
44	3		Resources	Insurance	Assurance	High	Planned		
45	3		Resources	Government Procurement Cards	Assurance	Medium	Planned		Deferred from 2021-22 Plan.
46	3		Resources	Payroll and Pension Administration	Assurance	High	Planned		
<b>Work Planned for Quarter 4 2022-23</b>									
47	4		Communities	Housing Voids – Follow-Up	Assurance	High	Planned		
48	4		Place	Planning Enforcement – Follow-Up	Assurance	High	Planned		
49	4		Place	Sustainable Warmth Grant (Home Upgrade Grant Phase 1)	Assurance	High	Planned		
50	4		Place	Sustainable Warmth Grant (Local Authority Delivery Scheme Phase 3)	Assurance	High	Planned		
51	4		Resources	Council Tax – Opening Debits	Assurance	High	Planned		Deferred from 2021-22 Plan.
52	4		Resources	Covid 19 Business Grants – Post Payment Assurance	Assurance	High	Planned		Activity split into two tranches. This is tranche 2.
53	4		Resources	Election Payments	Assurance	High	Planned		
54	4		Resources	National Non-Domestic Rates (NNDR) – Opening Debits	Assurance	High	Planned		
55	<b>NEW</b>		Resources	Right To Buy	Assurance	High	Planned		New activity.
56	4		Resources	Risk Management Follow-Up	Assurance	High	Planned		
57	4		Resources	Treasury Management and Ethical Investments Strategy	Assurance	High	Planned		
<b>Work Planned for Throughout 2022-23</b>									
58			Communities	Leisure Facilities – Local Authority Trading Company	Consultancy	Consultancy	Ongoing		
59			Counter Fraud	Counter Fraud	Assurance	High	Ongoing		Counter Fraud activity progresses throughout the year and is reported at each Committee.

**Key:**

- The audit has started or will start on time.
- The audit commencement has been or is likely to be delayed.
- The audit is not likely to be undertaken in this financial year.